HEARING-10/14/15

VOLUME II





HEARING-10/14/15



	Α. Υ	es. Ho	w far back would you like me to go,	
to	my wa	itressi	ng days or my teaching days or	
en	gineeri	ing day	/s?	

- Q. As far as you would like, ma'am.
- A. Perhaps relevant for here, since graduating
- from law school I worked, I clerked on the Fourth

10 (Pages 187 to 190)

		The second se
	191	193
1	Circuit for Judge Sprouse. I worked at Dorsey &	1 opportunity, so we look at what I call parallel
2	Whitney as an associate in Minneapolis around '85, '86.	2 tracking, looking at in-house opportunities and looking
3	I returned to Washington in '87 and worked for Shaw,	3 at partner opportunities.
4	Pittman, Potts & Trowbridge for the next twenty years,	4 Q. So it would be consistent with your, so your
5	starting as an associate in litigation and becoming a	5 description of how your counseling of an official would
6	partner in technology transactions. In 2007 I joined	6 include both to make sure Strike that.
7	Major, Lindsey & Africa as a managing director in the	7 Would you please tell me about your work
8	in-house practice group. In 2008 I moved to the	8 with senators or former senators or about to be former
9	partner practice group, again as a managing director,	9 senators in particular in connection with the timing of
10	and around 2010, effective 2011, I became a partner in	10 their searches?
11	the partner practice group of Major, Lindsey & Africa.	11 A. Well, it depends on when they start talking
12	Q. Would you please describe your recruiting	12 to me. Again, I try to establish what their goal is,
13	experience with MLA and any prior recruiting work you	13 whether it's in-house or a law firm. If it's in-house,
14	did before joining MLA?	14 I will ask them, what is your timing. I advise them
15	 I really didn't do recruiting work before 	15 that it's at least a solid year to find an attractive
16	joining Major, Lindsey & Africa except in my role as a	16 in-house opportunity. It could be longer, and it could
17	lawyer at Shaw Pittman when lawyers are asked to	17 be shorter if lightning struck, so we look at their
18	interview prospective candidates who would be being	18 timing, will they be in their position another year so
19	recruited to the firm, but generally I was not in a	19 that they have the luxury of allowing themselves the
20	decision-making role there. In my role as talent	20 time to look for an in-house position, or is their
21	development partner at that firm I sometimes gave	21 position going to be over in two months, or is it over
22	advice to our recruiting program, but again, that was a	22 now. If their time is scrunched and I ask whether they
23	take it or leave it basis advice, I was not in charge	23 have the funds to live without an income while they
24	of recruiting, and then at Major, Lindsey & Africa,	24 search for that in-house opportunity, try to establish
	n neur maarine revoluur 🗰 olehendezen nader saar verhalt 🖉 ere gebaard everten zij erevustationaaren z	
	192	194
1	starting in 2007 for about a year I did in-house	1 when do they actually need to be in a job, maybe
2	recruiting, and then starting in 2007, September 2007,	2 because their funds run out, whatever the reason is,
3	and about a year later I switched over to the partner	3 and then we work it back. A law firm move takes a good
4	practice group, and that's where I've been since then.	4 four months, and so if they said they needed to be in a
5	Q. Can you tell us a bit about your work with	5 job by January, I'd say, well, you need to be in the
6	highly accomplished federal or state government issues?	6 market by September, and so, you know, I advise them
7	A. A significant portion of my practice on the	7 to again, if in-house is their primary goal, I'd
8	partner side is with senior government lawyers, ranging	⁸ advise them to give in-house until September, and if it
9	from U.S. attorneys, cabinet officials, former	9 doesn't work out that's when they should start their
10	senators, chairmen of federal commissions, general	10 partner search, so that's the kind of advice I give to
11	counsel of federal commissions, and then senior	11 senior government lawyers, including senators who are
12	political appointees within the ranks of various	12 leaving the government.
13	agencies, and I they come to me looking to	13 Q. Can you describe how you and your candidates,
14	transition to the private sector. One of the first	14 particularly senior governor officials, discussed or
15	questions I try to determine is whether they want to be	15 managed the ethical constraints depending on their
16	on the, go in-house with a corporation or go to a law	16 position or their status in terms of planning a launch
17	firm, and talk to them about their timing and whether	17 or doing recruiting prior to leaving office or after
18	they have the leisure, if in-house is their priority,	18 office?
19	whether they have the leisure of time to give that time	19 A. Well, senior all lawyers, but in this case
20	because that's a matter of having the right opportunity	20 we're talking about senior government lawyers, have
21	at the right time for them and the company or whether	21 various ethical constraints. One is, pertains to
22	they need to parallel track it. You know, most people,	22 active cases, and on the law firm side I will ask them
23	they have limited time, don't have enough money to be	23 to let me know which firms they would not want to be
24	able to sit out and wait for that right in-house	24 submitted to because it could cause them embarrassment

11 (Pages 191 to 194)

	195	197
1	or complications, awkwardness. For example, if they're	1 it's not my decision. I encourage them to think about
2	in the middle of a sensitive negotiations with a firm,	2 their own personal constraints and to talk with their
3	they would not want to be presented to that firm, so I	 a ethics officer. That's their decision, not mine.
4	ask them to identify those kinds of firms, and then I	4 Q. It's theirs, thank you. Can you describe in
5	also ask them to talk with their ethics officer.	5 broad terms the range of annual compensation that
6	Although they're interpreting the same statutes, the	6 you've been successful in obtaining for former U.S.
7	advice given by ethics officers across the agencies can	7 senators or cabinet level officials?
8	vary, then I ask them when talking with their ethics	8 MR. CASEY: Objection.
9	officer to keep in mind a couple of different	9 THE ARBITRATOR: What's your objection?
10	approaches that we can use so that they can satisfy	10 MR. CASEY: Form, it's compound.
11	their ethical obligations.	11 MR. PRICE: I'm sorry.
12	Q. Do you recall working with any senators who	12 Q. (BY MR. PRICE CONTINUING): Limit it, please
13	chose to allow you to recruit for them while they were	 13 limit the question to let's say a senator, for example.
14	still in the office or insisted upon waiting until	14 A. It depends very much on the senator or
15	after they had stepped down?	15 congressperson's ability to practice law and in what
16	A. No.	16 areas, so sometimes their highest and best use is as a
17	Q. No, you didn't recruit for them while they	 17 lobbyist, but they don't want to be lobbyists, so you
18	were still in office, or no	 can have, and others actually have hard legal skills.
19	A. I don't, I think could you rephrase your	19 They're former litigators in various capacities and
20	question?	20 wish to resume their litigation careers, so they're
21	Q. Sure, I'm sorry, I'm very sorry.	21 very different profiles, and they range from zero
22	A. I think there were a couple of questions in	22 dollars to, you know, three million dollars. It
23	there.	 depends on their value add to the law firm, and again,
24	Q. Did you work with sitting senators,	 24 could be from zero dollars to three million dollars.
95×13		
	196	198
1	recruiting for them actively, or did you insist on	1 Q. Thank you. Do you conduct any so-called cold
2	working until, well, waiting until after they had been,	2 calls, or do you at this point obtain most of your
3	stepped down?	3 candidates through referrals?
4	A. Well, it's not my decision	4 A. Most are through referrals.
5	MR. CASEY: Objection.	5 Q. Would referral work would working with
6	THE ARBITRATOR: Excuse me. To form?	6 candidates through referral be considered something
7	MR. CASEY: Yes.	7 that's preferable to making cold calls, or are you
8	THE ARBITRATOR: Can you break that	8 indifferent to that?
9	question up?	⁹ A. I'd rather get a referral than make a cold
10	MR. PRICE: I'm sorry, Your Honor, yes,	10 call.
11	I will.	11 Q. Thank you.
12	Q. How did, how have you approached at least,	12 MR. CASEY: I don't suspect that's a
13	and if you can give a representative example, of	13 news flash.
14	working through the decision-making process of whether	14 MR. PRICE: Foundation?
15	you would recruit actively for a senator while he or	15 Q. Aside from the high potential significant
16	she was still sitting in office versus waiting until	16 revenue placing government officials in law firms, what
17	they had stepped down?	17 other nonmonetary professional benefits have you
18	A. That's not my decision, it's the candidate's	18 experienced from working with high government
19	decision, and it depends on what delicacies and ethical	19 officials, both federal and state, in terms of your
20	obligations they're facing, and I encourage them to go	20 brand or reputation within the legal community?
21	back to their ethics officer. There might be other	21 A. Well, they are, like any candidates, they are
22	maybe they're too busy, which isn't an ethical issue.	22 a potential referral source. Successful people have
23	Maybe they've got important bills coming to a vote.	23 successful friends, and so they refer their friends as
24	Again, it's not for me an ethical issue, but again,	24 well.

12 (Pages 195 to 198)

	199	21	01
1	Q. In working with again high federal government	1 it's the history, has been in touch with any of our	
2	officials or state, have you been able to benefit from	2 other recruiters. Sometimes that's a many year set of	
3	publicity in the mass media with regard to placement	3 notes. In this case, it revealed that Senator	
4	and their acknowledgment of their work with MLA and	4 and you had been in touch and had been working	
5	with you in particular?	5 together, and that you owned Senator	
6	A. No.	6 Q. So in your review of, or in your assistant's	
7	Q. Can you tell us about why?	7 review of the MaxHire database, were you able to for	m,
8	A. Well, I keep my placements confidential. The	⁸ did you form, what impression did you form about th	-50-65
9	firm keeps them confidential. I'm aware of only one	9 working relationship, the length, the extent of it?	
10	case where it came to the media, and it was someone	10 A. I didn't form an impression except that she	
11	moving from one law firm to another, and this person is	11 told me Senator	
12	a master publicist and wanted everybody to know, for my	12 need to look beyond that because he's owned. I'm not	
13	benefit and his, but otherwise, I think people are	13 going to work with him, he's owned by you, so why would	
14	pretty discreet, and I don't think I've ever been	14 I time is limited, I wouldn't go studying the	
15	mentioned in the media in moving a senior government	15 history. It's irrelevant at that point.	
16	lawyer.	16 Q. Did you become aware in the process of	
17	Q. That's consistent within the partner practice	 actually, how would you describe the status of 	
18	for sure from one law firm to another, that's rare.	18 preparation to launch his candidacy for a	
19	A. Mm-hmm.	position with a firm around, in July 2007?	
20	Q. I was thinking in the context of someone	20 A. In July?	
21	who's in, who's been in the government and therefore	21 Q. Yes.	
22	doesn't have the constraints of the law firm	22 A. Okay. Can you repeat that question?	
23	A. Right, yes, I understand, and it's odd in	23 Q. Certainly. How would you describe the status	
24	this case that the only one that I'm aware of that hit	24 of preparations for his launch back	
1	the press was a partner from one law firm to another.	1 into the law firm market after having been a senal	tor,
2	In the government context, I'm not aware of media	² in this case in July of ?	
3	mentions.	3 THE ARBITRATOR: ?	
4	Q. Thank you. Would you please tell us when you	4 MR. PRICE: Your Honor. I	
5	were first in contact with Senator	5 apologize for my voice.	
6	A. Sometime in early June he contacted me	6 A. Well, he wanted to go, he still well, we	
7	and asked if he could meet with me. He was referred by	7 haven't talked about June, but if you want me to jump	
8	general counsel of a company	8 ahead to July	
9	but I'm not quite sure.	9 Q. I'm sorry, please continue with June.	
10	Q. Do you recall, or can you tell us about how	10 A. Okay, so we did meet in Washington, and in	
11	and when you became aware of Senator	11 that meeting he was still a senator, and he was going	
12	representation by claimant, me, in this case?	12 to be transitioning out I believe in July, and his	
13	A. Right, so at about that time, I can't	13 goals were he still wanted to be, still; he wanted to	
14	remember whether it was before or after I met with	14 be in-house in a business role, and I asked him again,	
15	, my assistant told me that Senator was	15 going back to the general conversation I have with	
16	owned by you.	16 senior government lawyers, you know, what's your	
17	Q. And that was at I'm sorry, and that was	17 timing, et cetera, and it was clear that he needed to	
18	the first that you were aware that we worked together?	18 be in a job sooner rather than later, so though his	
19	A. Yes. What I do is I record my notes, or like	19 long-term goal was in-house, seemed like he needed to	
20	in this case, he sent me an e-mail, could we meet. I	20 double-track it and also pursue law firm opportunities,	
21	forward the e-mail to my assistant. She puts it in the	21 and I described him on the in-house, that we don't work	
22	database. She looks at what prior activity there has	22 for the candidate, you know, go network in the, in your	
0.2	been with respect to a condidate and they she late we		
23 24	been with respect to a candidate, and then she lets me know if they, if that candidate has been in touch with,	 community. On the law firm side, if he wanted to double-track it and do that, that he would need to 	

13 (Pages 199 to 202)

	1
203	205
1 write a business plan and in discussions with our firm	1 THE ARBITRATOR: He did have a
2 come up with a list of firms, and I sent him a business	2 non-compete?
3 plan, and I let you know by e-mail that I had met with	3 THE WITNESS: Yes, he was subject to a
4 him, that I had sent him a business plan, that I	4 non-compete, which meant he couldn't solicit or work
5 understood that you owned him, and I had no intentions	5 with candidates he had at while Major, Lindsey &
6 of representing him in the market, because I also sent	6 Africa.
7 him back to where I thought he had the best	7
8 contacts to develop a business that would be attractive	8
9 to a law firm, and under our rules you owned him for	9
10 the market, so I sent him back to you to work	10
11 with you to go to law firms.	11
12 Q. Okay. Can you please describe the next	12
13 communication you had with	13 Q. Thank you. I did want to ask your thoughts,
14 A. Yes. On July 3rd I sent him an e-mail that	14 your, your opinion, what would be the; pardon me
15 said that Mr. Price, that you were no longer with our	15 Strike that, please.
16 company, and that if he wanted assistance in the market	16 Can you describe what you think would be
17 that we would be happy to help him.	17 the effect on any senator's or high federal government
18 Q. And how did you come about, come to be aware	18 official's recruiting plan, one that had been worked on
19 that I was no longer with the company?	19 for a while, of having a sudden unexpected shift in
20 A. called me. I was in Prague at	20 recruiters at the last minute four or five days before
21 the time, and she called me to say that you were no	21 a planned launch?
22 longer with the company, and would I please reach out	22 MR. CASEY: Objection.
23 to Senator to see if he would like assistance	23 THE ARBITRATOR: In that form,
24 going to the market. I said, well, does, do you have a	24 sustained.
204	206
1 non-compete, would you be allowed to represent Mr.	Q. Do you have a can you describe what you
and she said no. I said, okay, so then I	2 think the effect of a change in recruiters would have
 e-mailed him, as I said, I e-mailed him, and saying 	3 on the recruiter, on the candidate's prospects with his
4 that you had been terminated, and would he like	4 law firm, or with, excuse me, with law firm
5 assistance, our assistance in going to the market. He	5 submissions?
6 said he was very surprised. By return e-mail he said	6 MR. CASEY: Objection. It's awfully
7 he was very surprised that you had been terminated	7 hypothetical.
8 because he had just had breakfast with you that morning	8 THE ARBITRATOR: I'll allow it.
9 and you hadn't mentioned that you had been terminated.	9 A. Well, would you just say the question again?
10 I think he said something like he didn't want to get in	10 Q. It's in the context of him in the
11 between, but he wanted our assistance in going to the	11 market. He had been submitted six months earlier to a
12 market.	12 dozen firms, got a very favorable response, and so in
13 THE ARBITRATOR: Just a I'm sorry,	13 many respects this was like a resubmission where he,
14 had you finished your answer?	14 planning, he was planning a resubmission to some of the
15 THE WITNESS: I think so, and he said he	15 same firms and others after he had now had
16 didn't need to talk that day, I think we were both busy	16 , so in this context, I, based on your
17 that day. It was, you know, July 3rd, it was right	 substantial experience with senators, with high federal
18 before the holiday, I think it was over a weekend, and	18 officials, what would you think would be the impact on
19 we agreed we would talk like around July 8th.	19 a change of recruiters where in this case the managing
20 THE ARBITRATOR: You mentioned your	20 partners of the firms he had been working with already
21 conversation with when you were in Prague,	21 had a familiar voice and now were going to move to
22 and that you asked whether Mr. Price had a non-compete,	22 someone different?
23 and her response was what?	23 MR. CASEY: Objection.
24 THE WITNESS: Yes.	24 THE ARBITRATOR: Sustained.

14 (Pages 203 to 206)

24

primary goal was still to go in-house, but he still

VOLUME II

1007 11			V O LOME I
	207		209
1	Q. In your experience in working with senators	1	needed to double-track it, so to also explore the law
2	or high officials, can you describe the level of	2	firm market , and he still had not written a
3	sensitivity to working with an individual recruiter	3	business plan, and we had not discussed a list of
4	like yourself?	4	firms, so he was still, you know, in my experience, you
5	MR. CASEY: Objection.	5	know, at best a week from exploring the market, but
6	THE ARBITRATOR: I'm not sure I	6	more typically many weeks away, the gating issue that
7	understand the question, Mr. Price.	7	we know the market, we know the law firms, so except
8	MR. PRICE: Well, what I'm trying to	8	for the discussion about what firms are of interest,
9	convey is	9	the real gating issue is the writing of the business
10	THE ARBITRATOR: Just, you need to	10	plan. It's important to be taken seriously by law
11	rephrase.	11	firms, you're not just a government bureaucrat but
12	MR. PRICE: Rephrase.	12	really a lawyer who can think and act like a business
13	THE ARBITRATOR: Actually, I'm how	13	generator, so that business plan is key to making a
14	much more do you think you have for Ms. Roberts?	14	good impression, both on paper and in the first meeting
15	MR. PRICE: Just one, one or two more	15	with the law firm, and he had not written a business
16	questions.	16	plan, so we encouraged him to write his business plan,
17	THE ARBITRATOR: Okay. We normally	17	and again, for some people who are really on it, it may
18	break at eleven. How much cross do you expect?	18	take a week, for others it might take a few weeks, but
19	MR. CASEY: Two to three minutes.	19	we encouraged him to write his business plan.
20	THE ARBITRATOR: Shall we go until	20	Q. Did you have a chance or did you review the
21	conclusion?	21	draft business plan that I worked on for him as well as
22	THE WITNESS: Yes, that's fine.	22	my extensive notes and, about which firms he was
23	THE ARBITRATOR: Okay. Go ahead, Mr.	23	interested in being submitted to, or was that not
24	Price.	24	accessible
	208		
1	Q. (BY MR. PRICE CONTINUING): Can you please	1	MR. CASEY: Objection.
2	describe the recruiting efforts that you did undertake	2	Q. Did you review
3	for Senator	3	MR. PRICE: I'm sorry.
4	he began to work with you, and if I'm correct,	4	THE ARBITRATOR: Excuse me. Sustained.
5	as well?	5	Go ahead.
6	A. Mm-hmm, so picking up from that e-mail	6	Q. Did you review the existing record in the
7	exchange around July 3rd, Senator and I did speak	7	file prepared by me in order to, were you able to
8	about July 8th. Again, I was still in Prague, and I	8	sorry.
9	guess he was get a state of the was in the United	9	Did you find it useful to review the
10	States anyway, and he said that he would like to	10	draft business plan and list of firms that Mr., Senator
11	explore the market, and wanted our, meaning Major,	11	and I had discussed and prioritized the list for
12	Lindsey & Africa's assistance, and I said I would be	12	the launch the following week?
13	happy to meet with him with my colleague,	13	MR. CASEY: Objection.
14	again, because under our rules I would not be permitted	14	THE ARBITRATOR: I'll sustain the
15	to represent him alone in the market, I would	15	objection to the second question. I'll allow the first
16	have to work with one of my colleagues so that	16	question, which was, did you review the existing file
17	the candidate gets the benefit of local market	17	that I prepared.
18	knowledge, so we agreed we would meet in second when I	18	MR. PRICE: Thank you, Your Honor.
19	got back from Prague, which was probably about a week	19	A. I think there are different parts of the
20	later, and then I contacted and asked him if	20	database, and there's one summary snapshot that would
21	he would co-represent Senator with me in the	21	say what firm somebody was presented to and what was
22	market, and he agreed. We met with Senator	22	the status of that, and I think I looked at that
23	, and at that point he still, his	23	snapshot but not other notes within the database.

15 (Pages 207 to 210)

KACZYNSKI REPORTING

24

HEARING-10/14/15

VOLUME II



16 (Pages 211 to 214)

	215	217
1	THE ARBITRATOR: I'm asking you	1
2	specifically	2 that occurred within hours and subsequently days of my
3	MR. PRICE: Yes, it does exist, I can	3 submission of him to these firms on the Friday night,
4	provide	4 December 23rd.
5	THE ARBITRATOR: The exhibits in this	5 THE ARBITRATOR: And your guestion to
6	case are in this black binder and in this white binder.	6 Ms I'm sorry, is it Ms. Roberts or Ms. Sullivan
7	Is there any such correspondence in either of these	7 Roberts
8	binders?	8 THE WITNESS: Roberts, Roberts.
9	MR. PRICE: I	9 THE ARBITRATOR: Ms. Roberts, is had,
10	THE ARBITRATOR: And if so, can you	10 did she review Exhibit 16?
11	point me to it?	11 MR. PRICE: Yes.
12	MR. CASEY: While he's looking at the	12 THE ARBITRATOR: Would you show her
13	claimant's binder, I would note that they have not been	13 Exhibit 16?
14	introduced into evidence, and Mr. Price's testimony has	14 MR. PRICE: Bad print, sorry about this.
15	been concluded, so unless he can put it through	15 (Pause).
16	Ms. Roberts, which I doubt, they're not in evidence.	16 THE WITNESS: No, I don't think I signed
17		17 this. I don't recognize it.
18	THE ARBITRATOR: Actually my ruling at the beginning of yesterday was that the respondents'	18 Q. (BY MR. PRICE CONTINUING): Thank you, then
19	exhibits were in, being not objected to.	19 if I may just ask a final question. There's a lot of
20	MR. CASEY: Yes.	20 data in the database and I understand that, appreciate
20		
	THE ARBITRATOR: The claimant's exhibits	
22	were in unless after objection, which the, at that	
23 24	point respondents had not yet had an opportunity to	
2.3	review, so they couldn't assert, unless after objection	24 to. I appreciate your testimony. Thank you.
	216	218
1	I exclude them from being admitted, so they are in	1 THE WITNESS: You're welcome.
2	unless I've excluded them, and I've heard no objections	2 MR. PRICE: Your Honor, I have no more
3	yet to the	³ questions.
4	MR. CASEY: Okay.	4 THE ARBITRATOR: Thank you, Mr. Price.
5	THE ARBITRATOR: black binder.	5 EXAMINATION OF JANE SULLIVAN ROBERTS BY MR. CASEY:
6	MR. CASEY: I misunderstood, my	6 Q. Ms. Roberts, you testified on direct in two
7	apologies. I thought the ruling was that they would be	7 different manners that you wrote to that Mr
8	admitted once someone was asked about them unless there	8 Price was no longer with the firm,
9	was an objection at that time, but that's if I'm	9
10	wrong, then I'm wrong, but I still don't think there's	10
11	any correspondence in there on this subject.	11
12	THE ARBITRATOR: Well, I both my	12
13	notes and my memory are the same with regard to that,	13
14	so I'm going to have to go by that.	14
15	MR. CASEY: I defer to Your Honor.	15
16	THE ARBITRATOR: But in any event, Mr.	16
17	Price, have you been able to locate any such	17 Q. Were you involved in Mr. Price's hire?
18	correspondence?	18 A. No.
19	MR. PRICE:	19 Q. Were you involved in supervising Mr. Price in
20		20 any respect?
21		21 A. No.
22		22 Q. Did you have any input into his performance
And the		
23		23 evaluations?
23 24		23 evaluations? 24 A. No.

17 (Pages 215 to 218)

HEARING-10/14/15

VOLUME II



18 (Pages 219 to 222)